

## Subrecipient Letter of Intent or Commitment Form

PASS-THROUGH ENTITY (PTE) INSTITUTION INFORMATION	
PTE PI	
PTE Institution	
Prime Sponsor	
Project Title	

SUBRECIPIENT INSTITUTION INFORMATION			
Institution			
FDP Expanded Clearinghouse Profile url			
PI Name		eRA Commons	
PI Phone		PI E-Mail	
Project Period			
Performance Site Address		Performance Site Congressional District #	
Direct Costs		F&A Costs	Total Costs

SUBRECIPIENT CONTACTS			
Administrative		E-Mail	
		Phone	
Authorized Official		E-Mail	
		Phone	

	YES/NO	APPROVAL DATE OR PENDING		YES/NO	APPROVAL DATE OR PENDING
Human Subjects			Recombinant dNA		
Vertebrate Animals			Program Income		
Human Embryonic Stem Cells			Cost Sharing		
Select Agents			SBIR/STTR		

In signing below and offering to participate in this research program, the Subrecipient Institution certifies that neither they nor their principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency and are not delinquent on any federal debt; they are in compliance with the Drug Free Workplace Act of 1988; they are in compliance with U.S. Code, Section 1352, restrictions on the use of federal funds for the purpose of lobbying; they have filed annually with the Office of Scientific Integrity a PHS form 6349 governing Misconduct in Science; they have filed with DHHS compliance offices certification forms governing Civil Rights (441), Handicapped Individuals (641), Sex Discrimination (639-A), and Age Discrimination (680); they are in compliance with PHS policy governing Program Income; they have established policies in compliance with 45 CFR Part 46, Subpart A (protection of human subjects); the Animal Welfare Act (PL-89-544 as amended) and the Health Research Exchange Act of 1985 (Public Law 99-158); and that they are in compliance with NIH guidelines regarding human pluripotent stem cell research, transplantation of fetal tissue, recombinant DNA and human gene transfer research, and inclusion of women, children & minorities in research.

Subrecipient Institution: certifies compliance with Sponsor-Specific Financial Conflict of Interest (FCOI) rules and regulations of 42 CFR Part 50 Subpart F (PHS) and FR 2022-17 (DOE) and will adhere to its own institutional procedures to comply with these regulations; or agrees to adhere to Illinois Sponsor-Specific FCOI policies and procedures; or this submission is not subject to PHS or DOE FCOI regulations.

**Foreign Subrecipient Institutions** (NIH flow through only): Acknowledges awareness of NIH NOT-OD-23-182 and agrees to abide by all requirements, including providing the PTE Institution access to copies of all lab notebooks, all data, and all documentation that supports the research outcomes as described in the progress report with a frequency of no less than once per year.

This proposal has been reviewed and approved by the appropriate official(s) of Subrecipient and certified to its accuracy and completeness. The appropriate programmatic and administrative personnel of Subrecipient involved in this application are aware of the prime awarding agency's policies, agree to accept the obligation to comply with award terms, conditions, and certifications, and is prepared to establish the necessary inter-institutional agreement consistent with that policy. Any terms or rates included in the proposal described herein are not binding upon the Pass-Through Entity. All terms and conditions between the parties will be outlined in a separate formal Agreement.

**SUBRECIPIENT INSTITUTIONAL OFFICIAL:** \_\_\_\_\_

Name and Title

Signature/Date