**Subaward Compliance and Assurance Statement**

**Approvals and Certifications**

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| **1. SUBRECIPIENT ORGANIZATION:** **2. UIUC AGREEMENT NUMBER:** **3. PROJECT TITLE:** **4. SUBAWARDEE PI:**  |
| **5. CONFLICT OF INTEREST POLICY**[ ]  By checking this box, Subrecipient confirms it has a Conflict of Interest policy that complies with the requirements of the prime funding entity and will apply that policy to the Project. | **6. PROTECTION OF HUMAN SUBJECTS (IRB Approval)**Please check ALL applicable statements: [ ]  Project DOES NOT involve human subjects. [ ]  Project involves human subjects AND [ ]  Protocol was approved by the Institutional Review Board (IRB) on (date)      . Subrecipient holds a Federal-wide assurance number     ; if not, a Single Project Assurance is required. [ ]  Project was deemed exempt by the IRB based on exemption category number     . [ ]  Specific plans involving human subjects depend upon completion of survey instruments, prior animal studies, or development of material procedures. No human subjects will be involved in research until approved by the IRB and written notification will be submitted to the University of Illinois Sponsored Programs Administration administrative contact.Subrecipient agrees to assume primary responsibility for complying with the Federal Policy for Protection of Human Subjects. All nonexempt research involving human subjects must be approved and under continuing review by an IRB protocol. If Subrecipient submits a Single Project Assurance, supplemental information describing procedures to protect subjects from risks is required. |
| **5a. CONFLICT OF INTEREST DISCLOSURE**Please check ONE of the following statements: [ ]  Subrecipient affirms that, to the best of its knowledge, there exists no actual or potential conflict of interest involving Subrecipient or the family, business, or financial interests of Subrecipient employees and the Project. If Subrecipient becomes aware of a change in either its private interests or activities under the Project, Subrecipient will confer with the University of Illinois Sponsored Programs Administration Administrative contact so that the parties can manage or eliminate the possible conflict of interest that may arise as a result of such change. [ ]  Subrecipient affirms there is an actual or potential conflict of interest on this Project that would impinge on its ability to render impartial, technically sound, and objective assistance or advice or result in it being given an unfair competitive advantage. The Subrecipient further certifies that it has and will continue to manage or mitigate such conflict of interest (or apparent conflict of interest). |
| **7. CARE AND USE OF ANIMALS (IACUC Approval)**Please check ONE of the following statements: [ ]  Project DOES NOT involve live vertebrate animals [ ]  Project involves live vertebrate animals and was approved by the Institutional Animal Care and Use Committee (IACUC) on (date)      \_\_\_\_\_\_\_\_\_\_\_\_\_Subrecipient agrees to assume primary responsibility for complying with the USDA Animal Welfare Act and the NIH Public Health Service Policy on the Humane Care and Use of Animals. In the case of domesticated farm animals housed under farm conditions, Subrecipient shall adhere to the principles stated in the Guide for the Care and Use of Agriculture Animals in Research and Teaching, American Society of Animal Science. | **8. BIOSAFETY OF RECOMBINANT AND SYNTHETIC NUCLEIC ACIDS**Please check ALL applicable statements: [ ]  Project DOES NOT involve recombinant and synthetic nucleic acids [ ]  Project involves recombinant and synthetic nucleic acids and was either: [ ]  APPROVED by an Institutional Biosafety Committee (IBC) [ ]  Determined to be EXEMPT from NIH guidelines by an IBC Approval / determination by IBC given on (date):     \_\_\_\_\_\_\_\_Subrecipient agrees to assume primary responsibility for complying with both the intent and procedures of the National Institutes of Health (NIH), DHHS Guidelines for Research Involving Recombinant DNA Molecules, as revised. |
| **9. Safe and Inclusive Working Environment for Off-Campus and Off-Site Research** **(NSF PAPPG 23-1, Chapter II, E.9) [NSF Funded Projects Only]:**(*For purposes of this requirement, NSF defines off-campus and off-site research as data/information/samples being collected off-campus or off-site, including fieldwork and research activities on vessels and aircraft, and observatories and other facilities)*Please check ONE of the following statements:[ ]  Project does not involve Subrecipient research that will be conducted off-campus or off-site. [ ]  Project does involve Subrecipient research that will be conducted off-campus or off-site AND [ ] Subrecipient has a plan in place that meets the requirements in the NSF policy and will ensure the plan is disseminated to individuals prior to departure.  [ ] Subrecipient does not have a plan in place that meets the requirements in the NSF policy, but will ensure that no off-site or off-campus research is conducted until a plan is in place that meets the requirements in the NSF policy and has been disseminated to individuals prior to departure.  |
| **SIGNATUREOF AUTHORIZED REPRESENTATIVE:****\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_****NAME:** **TITLE:** | **DATE:** |